# Exercise 1: Implement the Entropy-Constrained Lloyd Algorithm (optional)

Implement the entropy-constrained Lloyd algorithm using a programming language of your choice.

- Test the algorithm for
  - a unit-variance Gaussian pdf:

$$f(s)=\frac{1}{\sqrt{2\pi}}\,e^{-\frac{1}{2}\,s^2}$$

• a unit-variance Laplacian pdf:

$$f(s) = \frac{1}{\sqrt{2}} e^{-\sqrt{2}|s|}$$

- Use the following Lagrange multipliers:  $\lambda = 0.5, 0.2, 0.1, 0.05, 0.02, 0.01$ .
- Determine the rate (entropy) R and the distortion D for your quantizers.
- Compare the R-D performance of your quantizers to the high-rate approximation.

You can implement the EC Lloyd algorithm that directly uses the pdf or the EC Lloyd algorithm that uses a training set (files with 1 000 000 samples in float32 format are provided on the course web site)

## Exercise 2: Quantization of Sources with Memory

Consider a discrete Markov process  $\mathbf{X} = \{X_n\}$  with the symbol alphabet  $\mathcal{A}_X = \{0, 2, 4, 6\}$ and the conditional pmf

$$p_{X_n|X_{n-1}}(x_n|x_{n-1}) = \left\{egin{array}{ccc} a & : & x_n = x_{n-1} \ rac{1}{3}(1-a) & : & x_n 
eq x_{n-1} \end{array}
ight.$$

The parameter *a*, with 0 < a < 1, is a variable that specifies the probability that the current symbol is equal to the previous symbol. For a = 1/4, our source **X** would be i.i.d.

Given is a two-interval quantizer with the reconstruction levels  $s'_0 = 1$  and  $s'_1 = 5$  and the decision threshold  $u_1 = 3$ .

- (a) Assume optimal entropy coding using the marginal probabilities of the quantization indices and determine the rate-distortion point of the quantizer.
- (b) Can the overall quantizer performance be improved by applying conditional entropy coding (e.g., using arithmetic coding with conditional probabilities)?

How does it depend on the parameter a?

### **Exercise 3: High-Rate Quantization**

Consider scalar quantization of a Laplacian source at high rates:

$$f(x) = rac{\lambda}{2} \cdot e^{-\lambda |x|}$$
 with  $\sigma_S^2 = rac{2}{\lambda^2}$ 

In a given system, the used quantizer is a Lloyd quantizer with fixed-length entropy coding (the number of quantization intervals represents a power of 2).

How many bits per sample (for the same MSE distortion) can be saved if the quantizer is replaced by an entropy-constrained quantizer with optimal entropy coding?

Note:

Assume that the operation points of the quantizers can be accurately described by the corresponding high rate approximations.

## Exercise 4: Implementation of First Lossy Image Codec

- Use the PPM format as raw data format (see earlier exercise on lossless image coding)
- Use any of the lossless image codecs available in the KVV (or your own implementation) as basis

#### Implement an Image Encoder

- Quantize the original image samples s[x, y] using a fixed quantization step size  $\Delta$ 
  - → Simple rounding is sufficient for our purpose:  $k[x, y] = \text{round}(s[x, y]/\Delta)$
  - ightarrow Transmit the quantization step size  $\Delta$  at the beginning of the bitstream
- Use the lossless codec for coding the quantization indexes k[x, y]

### Implement the corresponding Image Decoder

- Decode the quantization indexes k[x, y] using the lossless codec
- Reconstruct the image samples according to:  $s'[x, y] = k[x, y] \cdot \Delta$

### Test your Codec

- Code selected test images with different quantization step sizes (e.g.,  $\Delta = 2, 4, 8, 16, 32, 64$ )
- Measure the compression factors (based on the file sizes) and judge the image quality by visual inspection

## Exercise 5: Quantization of Exponential Source (optional / more difficult)

Consider uniform threshold quantization of an exponential pdf given by  $f(x) = a e^{-ax}$ .

With  $\Delta$  denoting the quantization step size, the thresholds are given by  $u_k = k\Delta$ , with  $k = 0, 1, 2, \cdots$ .

(a) Determine the pmf for the quantization indexes.

Calculate the rate (entropy) as function of the probability  $p = P(X > \Delta) = e^{-a\Delta}$ . Describe an entropy coding scheme for the quantization indices that virtually achieves the entropy.

- (b) Derive a formula for the optimal reconstruction levels  $s'_k$ , for MSE distortion, as function of the quantization step size  $\Delta$ , the lower interval boundaries  $u_k$ , and the probability  $p = e^{-a\Delta}$ .
- (c) Is the obtained quantizer an optimal entropy-constrained scalar quantizer?

(d) Determine the distortion in dependence of the quantization step size for the developed quantizer.

Hint: For 
$$|a| < 1$$
,  $\sum_{k=0}^{\infty} a^k = \frac{1}{1-a}$ ,  $\sum_{k=0}^{\infty} k a^k = \frac{a}{(1-a)^2}$ ,  $\sum_{k=0}^{\infty} k^2 a^k = \frac{a(1+a)}{(1-a)^3}$